

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

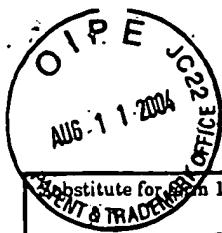
Electronic Version v18

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Title of Invention	ADMINISTERING INCENTIVE AWARD PROGRAM						
Application Number:	09/912591						
Confirmation Number:	8700						
First Named Applicant:	P. Gallagher						
Attorney Docket Number:	GAL1.001						
Art Unit:	3622						
Examiner:	John L. Young						
Search string:	( 5765141 or 5791991 or 5875437 or 5970480 or 5991736 or 6049778 or 6061660 or 6070153 or 6119933 or 6222914 or 6292786 or 6345261 ).pn.						
<b>US Patent Documents</b>							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5765141	1998-06-09	Spector, D.		705	14
	2	5791991	1998-08-11	Small, N.			
	3	5875437	1999-02-23	Atkins, C.			
	4	5970480	1999-10-19	Kalina, D.			
	5	5991736	1999-11-23	Ferguson et al		705	14
	6	6049778	2000-04-11	Walker et al		705	14
	7	6061660	2000-05-09	Eggleston et al			
	8	6070153	2000-05-30	Simpson, M.			
	9	6119933	2000-09-19	Wong et al			
	10	6222914	2001-04-24	McMullin, J.			
	11	6292786	2001-09-18	Deaton et al			
	12	6345261	2002-02-05	Feidelson et al			

Signature

Examiner Name	Date
	9-7-2004
APP_ID=09912591	Page 1 of 2



Substitute for Form 1449/PTO

**37 CFR 1.501**  
**INFORMATION**  
**DISCLOSURE**  
**LISTING**

(Use as many sheets as necessary)

*Complete if Known*

Application Number	09/912,591
Filing Date	25 July 2001
First Named Inventor	Gallagher
Art Unit	2162
Examiner Name	YOUNG, John L.

Sheet 1 of 2 Attorney Docket Number GAL1.001 (was 38123-152966)

**OTHER NON-PATENT LITERATURE DOCUMENTS**

Examiner's Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published
	1	THRUSH, Glenn H; "Saving Your Way Into Debt"; New York Times; October 15, 2000; New York, NY
	2	Stockback Trust; "SEC Form N-1A"; September 16, 1999; <a href="http://www.stockback.com">www.stockback.com</a>
	3	"The Stockback Fund Prospectus"; August 14, 2000; pp 1-36; downloaded 12/05/2000 from <a href="http://www.stockback.com">www.stockback.com</a>
	4	"Take Stock in Cisco"; pp 1-3; downloaded August 21, 2000, from <a href="http://www.cisco.com">www.cisco.com</a>
	5	"Free IPO"; downloaded from <a href="http://www.freeipo.com">www.freeipo.com</a> ; copyright 1997-1999.
	6	<a href="http://www.travelzoo.com">www.travelzoo.com</a> ; Travelzoo Inc.; refer to SEC Form S-4 filed 2/6/2001
	7	Bea Systems, Inc.; news release re Stockback ; August 1, 2000
	8	<a href="http://www.stockback.com">www.stockback.com</a> ; "Redeeming Stockback Help"
	9	<a href="http://www.dreamwater.com">www.dreamwater.com</a> ; "Free Stocks and Shares"; downloaded February 19, 2001
	10	<a href="http://www.popularlink.com">www.popularlink.com</a> ; Copyright 1996-2000 Popular Link; Copyright 1997-2000 ; powered by Free IPO.com
	11	Upromise news release; January 22, 2001; downloaded February 6, 2001,

Examiner Signature

Date Considered

9-7-2004

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



SAFETY & TRADE MARK FORM 1449/PTO

**37 CFR 1.501**  
**INFORMATION**  
**DISCLOSURE**  
**LISTING**  
*(Use as many sheets as necessary.)*

Sheet 2 of 2 Attorney Docket Number GAL1.001 (was 38123-152966)

*Complete if Known*

Application Number	09/912,591
Filing Date	25 July 2001
First Named Inventor	Gallagher
Art Unit	2162
Examiner Name	YOUNG, John L.

OTHER NON-PATENT LITERATURE DOCUMENTS		
Examiner's Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published
X	12	SYMONDS, William; "Spending Your Kids Into College"; Business Week, April 23, 2001; p 86. 2p, 1c; Issue 3729
X	13	GORDON, Joanne; "Margin Call"; Forbes; May 14, 2001; pp 150, 152.
X	14	MCDONALD, Jock (photographs); "The Boom In Employee Ownership"; INC Magazine; August 2000; pp 106, 108, 110, 112,
X	15	<a href="http://www.upromise.com">www.upromise.com</a> ; "Member Agreement"; downloaded May 30, 2001.

Examiner Signature *John L. Young* Date Considered 9-7-2004

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.